

[Federal Register: April 1, 2009 (Volume 74, Number 61)]

[Notices]

[Page 14824-14829]

From the Federal Register Online via GPO Access [wais.access.gpo.gov]

[DOCID:fr01ap09-76]

=====

OFFICE OF MANAGEMENT AND BUDGET

Information Collection Activities: Proposed Collection; Comment Request

AGENCY: Office of Management and Budget.

ACTION: Notice.

SUMMARY: In accordance with the Paperwork Reduction Act (44 U.S.C. 3501 et seq.), the Office of Management and Budget (OMB) invites the general public and Federal agencies to comment on the standard data elements that are being reviewed under emergency review procedures for use in complying with reporting requirements under section 1512 of the American Recovery and Reinvestment Act of 2009 (Public Law 111-5) ('`Recovery Act``'). The title of the standard data element set is ``Standard Data Elements for Reports under Section 1512 of the American Recovery and Reinvestment Act of 2009, Public Law 111-5 (Grants, Cooperative Agreements and Loans).`` Once the standard data elements are approved, each federal agency must require its recipients of grants, cooperative agreements and loans made under the Recovery Act to report the information and data electronically through a central Governmentwide portal or through an agency information collection process. Information on the reporting procedures will be posted to <http://www.federalreporting.gov> once available. Recipients of Recovery Act funded grants, cooperative agreements and loans may collect the data and information approved under this approved information collection from their subrecipients and subcontractors. A standard information collection for recipients of Recovery Act funded contracts directly awarded by the Federal government is being reviewed under a separate information collection process.

DATES: Comments must be submitted on or before May 1, 2009.

ADDRESSES: Due to potential delays in OMB's receipt and processing of mail sent through the U.S. Postal Service, we encourage respondents to submit comments electronically to ensure timely receipt. We cannot guarantee that comments mailed will be received before the comment closing date.

Comments may be sent via <http://www.regulations.gov> a Federal E-Government Web site that allows the public to find, review, and submit comments on documents that agencies have published in the Federal Register and that are open for comment. Simply type a key term in the information collection title such as ``section 1512`` in quotes in the Comment or Submission search box, click Go, and follow the instructions for submitting comments. Comments received by the date specified above will be included as part of the official record.

Comments may be e-mailed to: mpridgen@omb.eop.gov. Please include ``section 1512`` in the subject line of your e-mail message. Also, please include the full body of your comments in the text of the electronic message, as well as in an attachment. Please include your name, title, organization, postal address, telephone number, and e-mail

address in the text of the message. Comments may also be submitted via facsimile to [\(202\) 395-3952](tel:(202)395-3952).

Comments may be mailed to Marguerite Pridgen, Office of Federal Financial Management, Office of Management and Budget, Room 6025, New Executive Office Building, 725 17th Street, NW., Washington, DC 20503.

FOR FURTHER INFORMATION CONTACT: Marguerite Pridgen, Office of Federal Financial Management, Office of Management and Budget, telephone (202) [395-7844](tel:(202)395-7844) (direct) or [\(202\) 395-3993](tel:(202)395-3993) (main office) and e-mail: mpridgen@omb.eop.gov. The proposed data elements can be downloaded from the OMB Grants Management Web page at (http://www.whitehouse.gov/omb/grants_standard_report_forms/).

OMB Control No.: TBD.

Title: Standard Data Elements for Reports under Section 1512 of the American Recovery and Reinvestment Act of 2009, Public Law 111-5 (Grants, Cooperative Agreements and Loans)

Form No.: Not applicable.

Type of Review: Emergency clearance.

Respondents: States, local governments, Universities, non-profit organizations, commercial organizations, subrecipients and subcontractors under grants, cooperative agreements and loans.

Number of Responses: 993,100.

Estimated Time Per Response: 1.50 hours.

Needs and Uses: The ``Standard Data Elements for Reports under Section

[[Page 14825]]

1512 of the American Recovery and Reinvestment Act of 2009, Public Law 111-5'' was developed to serve as a government-wide standard data set for agencies to use in collecting information required under section 1512 of the American Recovery and Reinvestment Act of 2009 (``Recovery Act'') from recipients of Federal grant, cooperative agreement and loan funds. The data and information will be collected within ten days after each calendar quarter from each recipient unless the data has been pre-filled from a prior reporting period and is still accurate and current.

Danny Werfel,
Deputy Controller.

Standard Data Elements for Reports Under Section 1512 of the American Recovery and Reinvestment Act of 2009, Public Law 111-5
[Grants, Cooperative Agreements and Loans]

Item	Data elements	Instruction

General Section--Award and Award Recipient Information		
Please provide requested information regarding the award and award recipient.		
ARRA-A.....	Awarding Federal agency and Organizational Element to Which Report is Submitted.	Provide the name of the awarding Federal agency and organizational element identified in the award document or otherwise instructed by the agency. The organizational element is a subagency within an awarding Federal agency.
ARRA-B.....	Federal Grant or Other Identifying	Provide the grant/award number contained in the

	Number Assigned by the awarding Federal agency.	award document.
ARRA-C.....	DUNS Number.....	Provide the prime recipient organization's 9 digit Data Universal Numbering System (DUNS) number or Central Contractor Registration plus 4 extended DUNS number.
ARRA-D.....	EIN.....	Provide the recipient organization's Employer Identification Number (EIN) provided by the Internal Revenue Service.
ARRA-E.....	CFDA.....	Provide Catalog of Federal Domestic Assistance (CFDA) number on the award document or provided by the awarding agency. If this award is being funded through multiple programs, provide each CFDA number.
ARRA-F.....	Recipient Organization.	Provide the legal name of recipient organization and address, including zip code. This should be the same name and address that appears in recipient's Central Contractor Registration profile.
ARRA-G.....	Recipient Account Number or Account Number.	Provide the account number or any other identifying number assigned by the recipient to the award. This number is strictly for the recipient's use only and is not required by the awarding Federal agency.
ARRA-H.....	Project/Grant Period.	Indicate the project/ grant period established in the award document during which Federal sponsorship begins and ends. Note: Some agencies award multi- year grants for a project/grant period (e.g., 5 years) that are funded in increments known as budget periods or funding periods. These

ARRA-I..... Reporting Period
 End Date. are typically annual increments. Please provide the total project/grant period, not the individual budget period or funding period. The frequency of required reporting is quarterly. Provide the ending date of the reporting period. For quarterly reports, the following calendar quarter reporting period end dates shall be used: 6/30; 9/30; 12/31; or 3/31. For final reports, the reporting period end date shall be the end date of the project/grant period.

ARRA-J..... Final Report..... Mark appropriate box. Check ``yes'' only if this is the final report for the project/grant period specified in Box 6.

ARRA-K..... Report or Frequency Select ``quarterly'' for quarterly reports and/or ``final''.

 Section 1 Project/Activity Information

Please provide requested information for the project or activity for which Recovery Act funds were awarded.

ARRA-1-01..... Name of Project or Activity. Provide a brief descriptive title of the project or activity funded in whole or in part with Recovery Act funds. If this award funds multiple projects or activities, provide a descriptive title that captures the general focus area, e.g., ``community development,'' ``comprehensive community mental health services to adults with a serious mental illness,'' etc.

ARRA-1-02..... Total Amount of Recovery Funds Received from Federal Agency Identified in Item ARRA-A. Provide the cumulative amount of actual cash received from the Federal agency as of the reporting period end date.

ARRA-1-03.....	Amount of recovery funds received that were expended to projects or activities ('`Federal Share of Expenditures``').	Provide the cumulative total for the amount of Federal fund expenditures. For reports prepared on a cash basis, expenditures are the sum of cash disbursements for direct charges for property and services; the amount of indirect expense charged; the value of third-party in-kind contributions applied; and the amount of cash advance payments and payments made to subcontractors and subawardees. For reports prepared on an accrual basis, expenditures are the sum of cash disbursements for direct charges for property and services; the amount of indirect expense incurred; the value of in-kind contributions applied; and the net increase or decrease in the amounts owed by the recipient for (1) goods and other property received; (2) services performed by employees, contractors, subcontractors, subawardees, and other payees; and (3) programs for which no current services or performance are required. Do not include program income expended.
----------------	--	---

Section 2 Project/Activity Information

Please provide requested information for the project or activity for which Recovery Act funds were awarded.

ARRA-2-01.....	Description of Project or Activity (code(s)).	For awards primarily funding infrastructure projects or activities, as defined by the awarding agency, provide the North American Industry Classification System (NAICS) code(s) that describe the Recovery
----------------	---	---

Act projects or activities under this award. A searchable code list is at <http://www.census.gov/naics/>.

For all other awards, provide the National Center for Charitable Statistics ``NTEE-NPC`` code(s) that describe the Recovery Act projects or activities under this award. A searchable code list is at <http://nccsdataweb.urban.org/PubApps/nteeSearch.php?qOry=all-core&codeType=NPC>.

ARRA-2-02..... Description of Project or Activity (brief narrative).

A description of the overall purpose and expected outputs and outcomes or results of the award and first-tier subaward(s), including significant deliverables and, if appropriate, units of measure. For an award that funds multiple projects or activities, such as a formula block grant, the purpose and outcomes or results may be stated in broad terms.

ARRA-2-03..... Evaluation of completion status of the project or activity.

Evaluate the status of the work that has been completed. This evaluation should be based on performance progress reports and other relevant non-financial performance information. For awards funding a single project or activity, please choose one of the following options: Not started; Less than 50% completed; Completed 50% or more; Fully Completed. For awards funding multiple projects or activities, such as formula block grants, provide your best estimate of completion of all projects and/or activities based on any aggregate data and information.

ARRA-2-04..... A narrative

Provide a narrative

description of the employment impact of the Recovery Act funded work.

description of the employment impact of the Recovery Act funded work. This narrative should be cumulative for each calendar quarter and at a minimum, address the impact on the recipient's workforce, and if known, the impact on the workforces of subrecipients. At a minimum, the recipient shall provide--

(i) A brief description of the types of jobs created and jobs retained in the United States and outlying areas. ``Jobs or positions created'' means those new positions created and filled, or previously existing unfilled positions that are filled, as a result of Recovery Act funding. ``Jobs or positions retained'' means those previously existing filled positions that are retained as a result of Recovery Act funding. This description may rely on job titles, broader labor categories, or the contractor's existing practice for describing jobs as long as the terms used are widely understood and describe the general nature of the work; and

(ii) An estimate of the number of jobs created and jobs retained in the United States and outlying areas. At a minimum, this estimate shall include any new positions created and any existing

filled positions that were retained to support or carry out Recovery Act projects or activities managed directly by the recipient, and if known, by subrecipients. The number shall be expressed as ``full-time equivalent'' (FTE), calculated cumulatively as all hours worked divided by the total number of hours in a full-time schedule, as defined by the recipient. For instance, two full-time employees and one part-time employee working half days would be reported as 2.5 FTE in each calendar quarter.

(iii) A job cannot be reported as both created and retained. As used in this instruction, United States means the 50 States and the District of Columbia, and outlying areas means--

- (1) Commonwealths.
 - (i) Puerto Rico.
 - (ii) The Northern Mariana Islands;
- (2) Territories.

[[Page 14827]]

- (i) American Samoa.
 - (ii) Guam.
 - (iii) U.S. Virgin Islands; and
- (3) Minor outlying islands.
 - (i) Baker Island.
 - (ii) Howland Island.
 - (iii) Jarvis Island.
 - (iv) Johnston Atoll.
 - (v) Kingman Reef.
 - (vi) Midway Islands.
 - (vii) Navassa Island.
 - (viii) Palmyra Atoll.
 - (ix) Wake Atoll.

ARRA-2-05..... For infrastructure investments made by State and local governments: Total cost of infrastructure investment made by State and Local governments. Provide the cumulative total cost of investment. This amount should include the total cumulative federal expenditures and non-federal expenditures for the infrastructure investment:
Federal (Recovery Act funds):
\$-----.
Federal (non-Recovery Act funds):
\$-----.
NonFederal: \$-----
-----.

ARRA-2-06..... For infrastructure investments made by State and local governments: What is the rationale of the Award Recipient for funding the infrastructure investment with funds made available under the Recovery Act? Explain how the infrastructure investment will contribute to one or more purposes of the Recovery Act:
.....
Purposes:
(1) To preserve and create jobs and promote economic recovery.
(2) To assist those most impacted by the recession.
(3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health.
(4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.
(5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

ARRA-2-07..... For infrastructure investments made by State and local governments: Who should we contact if we have concerns about this infrastructure Provide name, phone number, address and email address of the appropriate contact in the state/local government.

investment?

Section 3 Subrecipient Information

For any first-tier subcontract or subaward funded in whole or in part under the Recovery Act, that is over \$25,000 and not subject to aggregate reporting under Section 4, the recipient shall provide detailed information as follows:

ARRA-3-01.....	Subrecipient DUNS Number.	Provide the subrecipient organization's 9 digit Data Universal Numbering System (DUNS) number or Central Contractor Registration plus 4 extended DUNS number.
ARRA-3-02.....	Award Number or Other Identifying Number Assigned by the Recipient Entity.	Provide the grant/award number (if any) assigned to the subrecipient award by the recipient.
ARRA-3-03.....	Subrecipient Name..	Provide the legal name of subrecipient as registered in the Central Contractor Registration (www.ccr.gov).
ARRA-3-04.....	Subrecipient Location.	Physical location as listed in the Central Contractor Registration. For congressional district, use the format: 2 characters State Abbreviation--3 characters District Number, e.g., CA-005 for California 5th district, CA-012 for California 12th district, NC-13 for North Carolina's 13rd district. If the program/project is outside the US, enter 00-000.
ARRA-3-05.....	Subrecipient Type..	Select primary category from the list of categories below. A. State Government. B. County Government. C. City or Township Government. D. Special District Government. E. Regional Organization. F. U.S. Territory or Possession. G. Independent School District. H. Public/State Controlled Institution

- of Higher Education.
- I. Indian/Native American Tribal Government (Federally Recognized).
- J. Indian/Native American Tribal Government (Other than Federally Recognized).
- K. Indian/Native American Tribally Designated Organization.
- L. Public/Indian Housing Authority.

[[Page 14828]]

- M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education).
- N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education).
- O. Private Institution of Higher Education.
- P. Individual.
- Q. For-Profit Organization (Other than Small Business).
- R. Small Business.
- S. Hispanic-serving Institution.
- T. Historically Black Colleges and Universities (HBCUs).
- U. Tribally Controlled Colleges and Universities (TCCUs).
- V. Alaska Native and Native Hawaiian Serving Institutions.
- W. Non-domestic (non-US) Entity.
- X. Other.

ARRA-3-06..... Amount of Subcontract or Subaward Disbursed.

Provide the cumulative amount of cash disbursed to the subawardee or subcontractor as of the reporting period end date.

ARRA-3-07..... Total Amount of Subcontract or Subaward (Ultimate Contract/Award Value).

Provide the anticipated total amount of cash to be disbursed to the subawardee or subcontractor by the expiration date of the subaward or subcontract, respectively.

ARRA-3-08.....	Subaward Date.....	Provide the date the subcontract or subaward was signed (mm/dd/YYYY).
ARRA-3-09.....	Subaward Project/ Grant Period.	Indicate the project/grant period established in the subaward document during which sponsorship begins and ends. For multi-year awards for a project/grant period (e.g., 5 years) that are funded in increments known as budget periods or funding periods, please provide the total project/grant period, not the individual budget period or funding period.
ARRA-3-10.....	Primary Performance Location.	Provide physical location of primary place of performance.
ARRA-3-11.....	For the five most highly compensated officers of the entity: the names and total compensation.	<p>Provide the names and total compensation of the five most highly compensated officers of the subrecipient entity if--</p> <p>(1) the recipient in its preceding fiscal year received--</p> <p>(a) 80 percent or more of its annual gross revenues in Federal awards; and</p> <p>(b) \$25,000,000 or more in annual gross revenues from Federal awards; and</p> <p>(2) the public does not have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986 [26 USC Sec. 6104].</p> <p>``Total compensation'' means the cash and noncash dollar value earned by the executive during the subrecipient's past fiscal year of the</p>

following (for more information see 17 CFR 229.402(c)(2)):

- (i). Salary and bonus.
- (ii). Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with FAS 123R.
- (iii). Earnings for services under non-equity incentive plans. Does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.
- (iv). Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
- (v). Above-market earnings on deferred compensation which are not tax-qualified.
- (vi). Other compensation. For example, severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property if the value for the executive exceeds \$10,000.

Section 4 Subawardee or Subcontract Award Information--Aggregated

For subcontracts or subawards valued at less than \$25,000 or any subcontracts or subawards awarded to an individual, or subcontracts or subawards awarded to an entity other than an individual which in the

previous tax year had gross income under \$300,000, the recipient shall only report the aggregate number of such first tier subawards and subcontracts awarded in the quarter and their aggregate total dollar amount.

ARRA-4-01.....	Total Number of Subcontracts and Subawards less than \$25,000/award and awarded to individuals.	Self-explanatory.
ARRA-4-02.....	Total Amount of Subcontracts and Subawards less than \$25,000/award and awarded to individuals.	Provide the total cumulative amount of cash disbursed to subawardees and subcontractors. This aggregate amount should be cumulative as of the reporting period end date.

[[Page 14829]]

[FR Doc. E9-7317 Filed 3-31-09; 8:45 am]

BILLING CODE 3110-01-P